



JCP51 U.S. PTO

12-10-01

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# UTILITY PATENT APPLICATION TRANSMITTAL

Our Docket No.: **I69.12-0502** Date: **November 13, 2001**First Named Inventor: **Richard L. Segar et al.**Title: **HGA BALLBOND ASSEMBLY WITH WAFER PROCESS  
ASSEMBLY FEATURES**Express Mail No.: **EL 328155399 US****APPLICATION ELEMENTS**
**Assistant Commissioner for Patents**  
**Box Patent Application**  
**Washington, D.C. 20231**

1.  Fee Calculation Sheet  
(Submit an original and a duplicate for fee processing)

2.  Applicant claims small entity status3.  Specification Total Pages [21]

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed. Sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claims
- Abstract of the Disclosure

4.  Drawings (35 U.S.C. 113)

Total Sheets [9]

5.  Oath or Declaration

Total Pages [5]

- a.  Newly Executed (original or copy)
- b.  Copy from a prior application (37 C.F.R. 1.63(d) - for continuation/divisional with Box 18 completed)

[Mark Box 5 below]

- i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b)

6.  Application Data Sheet. See 37 CFR 1.76.

- 7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- 8. Nucleotide and/or Amino Acid Sequence Submission  
(If applicable, all necessary)
  - a.  Computer Readable Copy (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies; or
    - ii.  Paper
  - c.  Statements verifying identify of above copies

**ACCOMPANYING APPLICATION PARTS**

- 9.  Assignment Papers (cover sheet & document(s))
- 10.  37 C.F.R. 3.73(b) Submission
- 11.  Power of Attorney
- 12.  English Translation Document (if applicable)
- 13.  Information Disclosure Statement with copies of Citations as necessary
- 14.  Preliminary Amendment Total Pages []
- 15.  Return Receipt Postcard (Should be specifically itemized)
- 16.  Certified Copy of Priority document(s) (If foreign priority is claimed)
- 17.  Request and Certification under 35 U.S.C.122(b)(2)(B)(i). (Nonpublication Request)
- 18.  Other

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Division     Continuation-in-part (CIP) of prior Application No. \_\_\_\_\_

Prior Application Information: Examiner \_\_\_\_\_ Group Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

ATTY NAME AND REG. NO.	David R. Fairbairn Reg. No. 26,047	SIGNATURE: 
ADDRESS	Kinney & Lange, P.A. THE KINNEY & LANGE BUILDING 312 South Third Street Minneapolis, MN 55415-1002	
TELEPHONE	(612) 339-1863      FAX: (612) 339-6580	

# FEE TRANSMITTAL

Complete if Known

Application No.

Filing Date

Herewith

First Named Inventor

Richard L. Segar et al.

Group Art Unit

Examiner Name

Total Amount of Payment \$816.00

Atty. Docket Number

I69.12-0502

## METHOD OF PAYMENT (Check One)

1.  The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. 1.16 and 1.17 and credit any over payments to Deposit Account No.11-0982. Deposit Account Name: Kinney & Lange, P.A.

2.  Check Enclosed

## FEE CALCULATION (Continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee paid
105	130	205	65	Surcharge - Late filing fee or oath	*
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	*
139	130	139	130	Non-English specification	*
147	2,520	147	2,520	For Filing a Request for Reexamination	*
115	110	215	55	Extension for reply within first month	*
116	400	216	200	Extension for reply within second month	*
117	920	217	460	Extension for reply within third month	*
118	1,440	218	720	Extension for reply within fourth month	*
128	1,960	280	980	Extension for reply within fifth month	*
120	320	220	160	Filing a brief in support of an appeal	*
121	280	221	140	Request for oral hearing	*
148	110	248	55	Terminal Disclaimer Fee	*
140	110	240	55	Petition to revive - unavoidable	*
141	1,280	241	640	Petition to revive - unintentional	*
142	1,310	242	670	Utility/Reissue issue fee (inc advance copies)	*
143	490	243	260	Design issue fee (inc. advance copies)	*
122	130	122	130	Petitions to the Commissioner	*
123	50	123	50	Petitions related to provisional applications	*
126	180	126	180	Submission of Information Disclosure Statement	*
581	40	581	40	Recording each patent assignment per property (times number of properties)	X
179	740	279	370	Request for Continued Examination (RCE)	*
Other fee (specify) _____					*
					Subtotal (3) \$40.00

Subtotal (1) \$740.00

### 2. EXTRA CLAIM FEES

Number of Claims	Prior**	Extra	Fee from Below	Fee Paid
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Total 22 - 20 = 2 x 18 = 36

Indep. 3 - 3 = 0 x 0 = 0

Multiple Dependent Claims \* = \*

\*\*Insert 3 and 20, or number previously paid if greater, Reissue see below

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Description
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103 18 203 9 Claims in excess of 20

102 84 202 42 Independent claims in excess of 3

104 280 204 140 Multiple Dependent Claim

109 84 209 42 Reissue Independent Claims Over Original Patent

110 18 210 9 Reissue claims in excess of 20 and over original patent

Subtotal (2) \$36.00

Signature   
David R. Fairbairn  
Date 11/13/01

Reg. No. 26,047  
Deposit Account No. 11-0982